

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Moelle et al.  
 Serial No.: 10/527,520 ✓  
 For: PROTECTIVE LAYER AND PROCESS AND ARRANGEMENT  
 FOR PRODUCING PROTECTIVE LAYERS  
 Filed: March 10, 2005  
 Examiner: Not Yet Assigned  
 Art Unit: Not Yet Assigned  
 Confirmation No.: 5181  
 Customer No.: 27623 Attorney Docket No.: 2133.086USU

**Mail Stop Missing Parts**  
**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND**  
**PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney executed by Christoph MOELLE, Lars BEWIG, Frank KOPPE, Thomas KUEPPER, Stefan GEISLER, Stefan BAUER, Juergen DZICK, Lutz KLIPPE and Christian HENN;
- ☐ The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.;
- ☐ \_\_\_\_\_ Sheets of Replacement Drawings;
- ☒ An Assignment of the Invention to: **Schott AG**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ A Certified Copy of the Priority Application;
- ☐ International Preliminary Examination Report dated \_\_\_\_\_;
- ☐ Information Disclosure Statement (Form - 1449); and

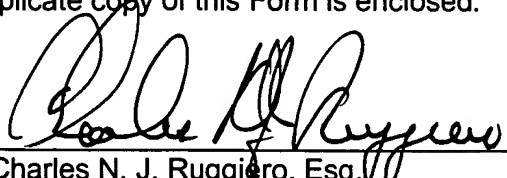
X A copy of the Notice to File Missing Parts of Application Filing Date Granted.

\_\_\_\_ Petition and Fee for Extension of Time (37 CFR 1.136(A)) which will expire on \_\_\_\_\_.

X A check in the amount of \$ 170.00 is enclosed (\$130.00 to cover the surcharge and \$40.00 for recordation of assignment)

X The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.


September 7, 2005  
Date

  
Charles N. J. Ruggiero, Esq.  
Attorney for Applicant(s)  
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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON September 7, 2005.

Ruth J. Olivo  
NAME.

  
SIGNATURE

September 7, 2005  
DATE

09/16/2005 ATRAN1 00000124 10527520

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130.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

Rec'd PCT/PTO 09 SEP 2005

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/527,520                  | Christoph Molle       | 2133.086USU      |

| INTERNATIONAL APPLICATION NO. |
|-------------------------------|
| PCT/EP03/10221                |

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP  
 ONE LANDMARK SQUARE, 10TH FLOOR  
 STAMFORD, CT 06901

RECEIVED

| FILING DATE | PRIORITY DATE |
|-------------|---------------|
| 09/13/2003  | 09/14/2002    |

AUG 28 2005

OHLANDT, GREELEY, RUGGIERO &amp; PERLE, LLP

CONFIRMATION NO. 5181

371 FORMALITIES LETTER

\*OC000000016818758\*

\*OC000000016818758\*

Date Mailed: 08/22/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/10/2005
- English Translation of the IA filed on 03/10/2005
- Copy of the International Search Report filed on 03/10/2005
- Copy of IPE Report filed on 03/10/2005
- Preliminary Amendments filed on 03/10/2005
- Information Disclosure Statements filed on 03/10/2005
- Copy of references cited in ISR filed on 03/10/2005
- U.S. Basic National Fees filed on 03/10/2005
- Priority Documents filed on 03/10/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/527,520                  | PCT/EP03/10221                | 2133.086USU      |

FORM PCT/DO/EO/905 (371 Formalities Notice)